

# Newry, Mourne and Down District Council Policy Screening Form

## Policy Information

Name of the policy	Risk Management Policy
Is this an existing, revised or new policy?	Revised (Reviewed)
What is it trying to achieve (aims/outcomes)	<p>The aim of this policy is to ensure that risk management is fully embedded within NMDDC strategic and operational planning processes. The objectives are to:</p> <ul style="list-style-type: none"> <li>• Manage risk in accordance with best practice (identify, assess, treat, monitor etc.).</li> <li>• Ensure that statutory obligations are complied with.</li> <li>• Minimise loss, disruption, damage, injury and reduce the cost of risk, thereby maximising resources;</li> <li>• Inform policy and decision making by identifying risks and their likely impact.</li> <li>• Safeguard, enhance and promote the reputation of the Council. •</li> <li>• Raise awareness of the need for risk management.</li> <li>• Enable the Council to anticipate and respond positively to change.</li> <li>• Ensure that risk management is considered in all decisions, partnerships and projects; in partnerships, a risk management strategy should be jointly developed and agreed.</li> <li>• Facilitate the identification of specific opportunities and/or options for continual improvement.</li> </ul>
Are there any Section 75 categories which might be expected to benefit from the intended policy?  If so, explain how.	No specific Section 75 category might be expected to benefit from the policy.
Who initiated or wrote the policy?	Gerard Byrne, Assistant Director of Finance
Who owns and who implements the policy?	Director of Corporate Services

## Implementation factors

	Yes	No
Are there any factors which could contribute to/detract from the intended aim/outcome of the policy/decision?	<b>X</b>	
If yes, are they Financial	<b>X</b>	
If yes, are they Legislative	<b>X</b>	
If yes, and they are Other please specify:		

## Main stakeholders affected

Who are the internal and external stakeholders (actual or potential) that the policy will impact upon?

	Yes	No
Staff	The policy applies and impacts on employees, agency staff, elected members, contractors, consultants, suppliers and service users.	
Service users		
Other public sector organisations		
Voluntary/community/trade unions		
Other, please specify:		

## Other policies with a bearing on this policy

What are they and who owns them	This policy should be read in conjunction with the following NMDDC documents: <ul style="list-style-type: none"> <li>• Code of Conduct for Local Government employees;</li> <li>• The Northern Ireland Local Government Code of Conduct for Councillors.</li> </ul>
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## Available evidence

What evidence/information (both qualitative and quantitative) have you gathered to inform this policy? Specify details for relevant Section 75 categories.

Section 75 Category	Details of evidence / information																							
Religious belief	<table border="1"> <thead> <tr> <th>LGD</th> <th>All usual residents</th> <th>Catholic</th> <th>Protestant and other Christian</th> <th>Other religions</th> <th>None</th> </tr> </thead> <tbody> <tr> <td>Northern Ireland</td> <td>1,810,863</td> <td>817,385 (45.14%)</td> <td>875,717 (48.36%)</td> <td>16,592 (0.9%)</td> <td>101,169 (5.59%)</td> </tr> <tr> <td>Newry, Mourne &amp; Down</td> <td>171533</td> <td>113200 (65.99%)</td> <td>34718 (20.34%)</td> <td>752 (0.43%)</td> <td>10229 (5.96%)</td> </tr> </tbody> </table> <p>(Source: Census Data 2011).</p>						LGD	All usual residents	Catholic	Protestant and other Christian	Other religions	None	Northern Ireland	1,810,863	817,385 (45.14%)	875,717 (48.36%)	16,592 (0.9%)	101,169 (5.59%)	Newry, Mourne & Down	171533	113200 (65.99%)	34718 (20.34%)	752 (0.43%)	10229 (5.96%)
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Political opinion	<p>Elected political party representation is an approximate barometer of political opinion of people within the Council area.</p> <p>The party breakdown of the Council's elected members is as follows:</p>																							

	<p>Sinn Féin 16 seats  SDLP 11 seats  Democratic Unionist 3 seats  Independents 5 seats  Ulster Unionist 3 seats  Alliance 2 seats  TUV 1 seat</p>																																										
Racial group	<p>According to the 2011 Census, 1.8% (32,400) of the usually resident population of Northern Ireland belongs to minority ethnic groups; this is more than double the proportion in 2001 (0.8%).</p> <p>The minority ethnic language profile within the area serves as a possible indicator of the BME community profile.</p> <p>The composition of language groups in the Newry, Mourne and Down District Council area is noted by NISRA (2011) as follows:</p> <p><b>Minority Ethnic Language Profile of the Newry, Mourne and Down LGD Area</b></p> <table border="1"> <thead> <tr> <th>Main language of residents in Newry, Mourne and Down District LGD</th> <th>Number</th> <th>Percentage %</th> </tr> </thead> <tbody> <tr> <td>English</td> <td>156794</td> <td>97.15</td> </tr> <tr> <td>Polish</td> <td>2100</td> <td>1.18</td> </tr> <tr> <td>Lithuanian</td> <td>836</td> <td>0.47</td> </tr> <tr> <td>Irish</td> <td>367</td> <td>0.24</td> </tr> <tr> <td>Portuguese</td> <td>86</td> <td>0.05</td> </tr> <tr> <td>Slovak</td> <td>134</td> <td>0.08</td> </tr> <tr> <td>Chinese</td> <td>121</td> <td>0.07</td> </tr> <tr> <td>Tagalog/Filipino</td> <td>55</td> <td>0.03</td> </tr> <tr> <td>Latvian</td> <td>208</td> <td>0.25</td> </tr> <tr> <td>Russian</td> <td>109</td> <td>0.06</td> </tr> <tr> <td>Malayalam</td> <td>87</td> <td>0.05</td> </tr> <tr> <td>Hungarian</td> <td>74</td> <td>0.04</td> </tr> <tr> <td>Other</td> <td>755</td> <td>0.46</td> </tr> </tbody> </table>	Main language of residents in Newry, Mourne and Down District LGD	Number	Percentage %	English	156794	97.15	Polish	2100	1.18	Lithuanian	836	0.47	Irish	367	0.24	Portuguese	86	0.05	Slovak	134	0.08	Chinese	121	0.07	Tagalog/Filipino	55	0.03	Latvian	208	0.25	Russian	109	0.06	Malayalam	87	0.05	Hungarian	74	0.04	Other	755	0.46
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	25-29	124099	11805
	30-34	373947	35122
	45-59	347850	32556
	60-64	94290	8624
	65-74	145600	12817
	75-84	86724	7453
	85-89	21165	1849
	90+	10231	829
Marital status	The table below illustrates the marital status profile of the Newry, Mourne and Down area:		
	<b>Marital Status</b>	<b>Newry, Mourne and Down LGD</b>	<b>NI</b>
	All usual residents: Aged 16+ years	132455	1431540
	Single (never married or never registered a same-sex civil partnership) Aged 16+ years	47722	517393 (35.14%)
	Married: Aged 16+ years	65255	680831 (47.56%)
	In a registered same-sex civil partnership: Aged 16+ years	102	1243 (0.09%)
	Separated (but still legally married or still legally in a same-sex civil partnership): Aged 16+ years	4697	56911 (3.98%)
	Divorced or formerly in a same-sex civil partnership which is now legally dissolved	6271	78074 (5.45%)
	Widowed or surviving partner from a same-sex civil partnership: Aged 16+ years	8408	97088 (6.78%)
Sexual orientation	Analysis of the Census 2011 indicates that between 2% and 10% of the population may be lesbian, gay or bisexual.  There are no official statistics in relation to the number of gay, lesbian or bisexual people in Northern Ireland. However, research conducted by the HM Treasury shows that between 5% - 7% of the UK population identify themselves as gay, lesbian, bisexual or 'trans' (transsexual, transgendered and transvestite) (LGBT). This is a sizeable proportion of the population here in Northern Ireland.		
Men and	The gender profile for the Newry, Mourne and Down LGD is as follows:		

women generally	<table border="1" data-bbox="411 230 1265 367"> <tr> <td data-bbox="411 230 695 264">LGD</td> <td data-bbox="699 230 979 264">Male</td> <td data-bbox="983 230 1265 264">Female</td> </tr> <tr> <td data-bbox="411 266 695 300">Northern Ireland</td> <td data-bbox="699 266 979 300">887323</td> <td data-bbox="983 266 1265 300">923540</td> </tr> <tr> <td data-bbox="411 302 695 367">Newry, Mourne and Down LGD</td> <td data-bbox="699 302 979 367">83866</td> <td data-bbox="983 302 1265 367">85345</td> </tr> </table>				LGD	Male	Female	Northern Ireland	887323	923540	Newry, Mourne and Down LGD	83866	85345						
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Disability	<p data-bbox="395 443 1390 539">According to the 2011 Census 19.62% of people in the Newry, Mourne and Down District Council area have a long-term health problem or disability that limits their day-to-day activities;</p> <table border="1" data-bbox="395 573 1401 981"> <thead> <tr> <th data-bbox="395 573 596 808">LGD</th> <th data-bbox="600 573 799 808">All usual residents</th> <th data-bbox="802 573 1002 808">Long-term health problem or disability: Day-to-day activities limited a lot</th> <th data-bbox="1005 573 1204 808">Long-term health problem or disability: Day-to-day activities limited a little</th> <th data-bbox="1208 573 1401 808">Long-term health problem or disability: Day-to-day activities not limited</th> </tr> </thead> <tbody> <tr> <td data-bbox="395 813 596 878">Northern Ireland</td> <td data-bbox="600 813 799 878">1810863</td> <td data-bbox="802 813 1002 878">215232 (11.89%)</td> <td data-bbox="1005 813 1204 878">159414 (8.8%)</td> <td data-bbox="1208 813 1401 878">1436217 (79.31%)</td> </tr> <tr> <td data-bbox="395 882 596 981">Newry, Mourne and Down</td> <td data-bbox="600 882 799 981">171533</td> <td data-bbox="802 882 1002 981">19579 (11.4%)</td> <td data-bbox="1005 882 1204 981">14102 (8.22%)</td> <td data-bbox="1208 882 1401 981">135530 (79.01%)</td> </tr> </tbody> </table> <p data-bbox="395 1016 1390 1050">In Northern Ireland the profile of people with a disability is cited as follows:</p> <ul data-bbox="443 1055 1390 1361" style="list-style-type: none"> <li>• More than 1 in 5 or 21% of the population in Northern Ireland has a disability The incidence of disability is higher in Northern Ireland than any other part of the UK</li> <li>• 1 in 7 people in Northern Ireland have some form of hearing loss</li> <li>• 5,000 sign language users who use British Sign Language (BSL) and/or Irish Sign Language (ISL)</li> <li>• In Northern Ireland there are 57,000 blind people or people with significant visual impairment</li> <li>• 52,000 people with learning disabilities</li> </ul> <p data-bbox="395 1397 735 1431">(Source: Disability Action)</p>				LGD	All usual residents	Long-term health problem or disability: Day-to-day activities limited a lot	Long-term health problem or disability: Day-to-day activities limited a little	Long-term health problem or disability: Day-to-day activities not limited	Northern Ireland	1810863	215232 (11.89%)	159414 (8.8%)	1436217 (79.31%)	Newry, Mourne and Down	171533	19579 (11.4%)	14102 (8.22%)	135530 (79.01%)
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Dependants	<p data-bbox="395 1473 1390 1570">Persons with dependants may be people who have personal responsibility for the care of a child (or children), a person with a disability, and / or a dependent older person.</p> <p data-bbox="395 1606 1390 1805">There are 61,998 households in Newry, Mourne and Down, 37.5% of which have dependants. With regard to these figures, dependants are defined as those aged 0-15 years or those aged 16-18 years who are in full-time education and living with their parents or grandparents. Similar to the regional trend, the proportion of households with dependants in the District has declined from 50% in 1981 to 37.5% in 2011.</p> <p data-bbox="395 1841 1390 2029">There are 5,466 lone parent households with dependent children in Newry, Mourne and Down which equates to almost 9% of number of total households in the District and is the fourth highest in Northern Ireland, after Belfast (17,036), Derry and Strabane (6,337) and Armagh, Banbridge and Craigavon (6,337). Half of the parents in lone parent household in Newry, Mourne and Down are unemployed, almost a quarter are in full time</p>																		

	<p>employment and over a quarter are in part time employment. 89% of the parents in lone parent households are female compared to 11% who are male.</p> <p>In 2012, the teenage conception rate was 1.02 per 1,000 mothers, which is the third lowest in N.Ireland before Lisburn and Castlereagh and Fermanagh and Omagh.</p>
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## Needs, experiences and priorities

Taking into account the information referred to above, what are the different needs, experiences and priorities of each of the following categories, in relation to the particular policy/decision? Specify details for each of the Section 75 categories

Section 75 Category	Details of needs/experiences/priorities
Religious belief	No specific needs, experiences and priorities have been identified.
Political opinion	
Racial group	
Age	
Marital status	
Sexual orientation	
Men and women generally	
Disability	
Dependants	

## Screening Questions

1. What is the likely impact on equality of opportunity for those affected by this policy, for each of the Section 75 grounds?

<b>Section 75 category</b>	<b>Details of policy impact</b>	<b>Level of impact? Major/minor/none</b>
Religious belief	The Risk Management Policy is primarily an inward facing policy. It draws on the principles and approach set out in 'Orange Book, Management of Risk – Principles and Concepts', issued by HM Treasury (October 2020) and the NIAO 'Good Practice in Risk Management' (June 2011). The Local Government Regulations (NI) 2006 (Accounts and Audit) requires the Council to have in place adequate and effective arrangements for the management of risk and to report on these arrangements within the annual governance statement, which forms part of the statutory accounts and which must be considered and approved.	None
Political opinion		
Racial group		
Age		
Marital status		
Sexual orientation		
Men and women generally		
Disability		
Dependants		

2. Are there opportunities to better promote equality of opportunity for people within the Section 75 equality categories?

<b>Section 75 category</b>	<b>If Yes, provide details</b>	<b>If No, provide details</b>
Religious belief		No
Political opinion		
Racial group		
Age		
Marital status		
Sexual orientation		
Men and women generally		
Disability		
Dependants		

3. To what extent is the policy likely to impact on good relations between people of different religious belief, political opinion or racial group?

<b>Good relations category</b>	<b>Details of policy impact</b>	<b>Level of impact? Major/minor/none</b>
Religious belief	No specific needs, experiences and priorities for the good relations categories have been identified.	None
Political opinion		
Racial group		

4. Are there opportunities to better promote good relations between people of different religious belief, political opinion or racial group?

Good relations category	If <b>Yes</b> , provide details	If <b>No</b> , provide details
Religious belief		No
Political opinion		
Racial group		

## Additional considerations

### Multiple identity

Generally speaking, people can fall into more than one Section 75 category. Taking this into consideration, are there any potential impacts of the policy/decision on people with multiple identities? (For example; disabled minority ethnic people; disabled women; young Protestant men; and young lesbians, gay and bisexual people).

None

Provide details of data on the impact of the policy on people with multiple identities. Specify relevant Section 75 categories concerned.

## Screening Decision

In light of your answers to the previous questions, do you feel that the policy should (please underline one):

1. **Not be subject to an EQIA (with no mitigating measures required)**
2. Not be subject to an EQIA (with mitigating measures /alternative policies)
3. Not be subject to an EQIA at this time
4. Be subject to an EQIA

If 1. or 2. (i.e. not be subject to an EQIA), please provide details of the reasons why:

It is recommended the Risk Management Policy not be subject to an EQIA (with no mitigating measures required).

The reason for this decision is that the Risk Management Policy is primarily an inward facing policy. It draws on the principles and approach set out in 'Orange Book, Management of Risk – Principles and Concepts', issued by HM Treasury (October 2020) and the NIAO 'Good Practice in Risk Management' (June 2011). The Local Government Regulations (NI) 2006 (Accounts and Audit) requires the Council to have in place adequate and effective arrangements for the management of risk and to report on these arrangements within the annual governance statement, which forms part of the statutory accounts and which must be considered and approved.



If 2. (i.e. not be subject to an EQIA), in what ways can identified adverse impacts attaching to the policy be mitigated or an alternative policy be introduced?

In light of these revisions, is there a need to re-screen the revised/alternative policy? Yes / No. If No, please explain why

If 3. or 4. (i.e. to conduct an EQIA), please provide details of the reasons:

### Timetabling and prioritising EQIA

If 3. or 4, is the policy affected by timetables established by other relevant public authorities? NO

If YES, please provide details:

Please answer the following questions to determine priority for timetabling the EQIA. On a scale of 1-3, with 1 being the lowest priority and 3 being the highest, assess the policy in terms of its priority for EQIA.

Priority criterion	Rating (1-3)
Effect on equality of opportunity and good relations	
Social need	
Effect on people's daily lives	
Relevance to a public authority's functions	

Note: The Total Rating Score should be used to prioritise the policy in rank order with other policies screened in for EQIA. This list of priorities will assist you in timetabling the EQIA. Details of your EQIA timetable should be included in the quarterly Section 75 report.

Proposed date for commencing EQIA: \_\_\_\_\_

## Monitoring

Effective monitoring will help identify any future adverse impacts arising from the policy which may lead you to conduct an EQIA, as well as help with future planning and policy development.

Please detail proposed monitoring arrangements below:

The policy will be reviewed on a four-yearly basis, or sooner as necessary, to ensure that it remains up-to-date with legislation and organisational changes etc.
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## Approval and Authorisation

<b>Screened by:</b>	<b>Position/Job Title</b>	<b>Date</b>
Colin Moffett	Head of Corporate Policy	29 March 2022
<b>Approved by:</b>		

Note: The completed policy screening template, signed off by the appropriate policy lead within the Council, and approved by the senior manager responsible for the policy, should be forwarded to the Head of Corporate Policy / Corporate Policy & Equality Officer who will arrange for it to be included in the Council's Quarterly Report on Screening and made available on the Council's website.