

November 17th, 2020

Notice Of Meeting

You are invited to attend a Special Meeting of the Audit Committee to be held on **Tuesday, 24th November 2020 at 3:30 pm** in **Remotely via Microsoft Teams.**

Committee Membership:

Ms B Slevin Independent Chairperson of Audit Committee

Councillor P Byrne

Councillor C Casey

Councillor L Devlin

Councillor C Enright

Councillor M Gibbons

Councillor O Hanlon

Councillor A Lewis

Councillor K Owen

Councillor M Ruane

Councillor G Sharvin

Agenda

1.0 Apologies and Chairpersons remarks.

2.0 Declarations of Interest.

3.0 Action Sheet: Audit Committee Meeting - Thursday 24 September 2020. (Attached)

📎 *Action Sheet - Audit Cmttee 24 Sept 2020.pdf*

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Exempt Information Items

4.0 ASM Internal Audit Report re Direct Award Contracts. (To follow)

This item is deemed to be restricted by virtue of Paragraph 3 of Part 1 of Schedule 6 of the Local Government Act (Northern Ireland) 2014 - Information relating to the financial of business affairs of any particular person (including the Council holding that information) and the public may by resolution, be excluded during this item of business.

5.0 Update re: Internal Audit Plan 2020-21. (Attached)

This item is deemed to be restricted by virtue of Paragraph 3 of Part 1 of Schedule 6 of the Local Government Act (Northern Ireland) 2014 - Information relating to the financial of business affairs of any particular person (including the Council holding that information) and the public may by resolution, be excluded during this item of business.

📎 *EXEMPT Rpt re Update on Internal Audit Plan 2020-21.pdf*

Not included

Invitees

Cllr Terry Andrews
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Mr Patrick Barr
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Ms Kate Bingham
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Cllr Patrick Brown
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Cllr Robert Burgess
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Cllr Pete Byrne
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Mrs Dorinnia Carville
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Cllr charlie casey
.....
Cllr William Clarke
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Cllr Dermot Curran
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Cllr Laura Devlin
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Ms Louise Dillon
.....
Cllr Sean Doran
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Cllr Cadogan Enright
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Cllr Aoife Finnegan
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Cllr Hugh Gallagher
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Cllr Mark Gibbons
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Christine Hagan
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Cllr Oonagh Hanlon
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Cllr Glyn Hanna
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Cllr Valerie Harte
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Cllr Roisin Howell
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Mrs Sheila Kieran
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Cllr Mickey Larkin
.....
Cllr Alan Lewis
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Mr Michael Lipsett
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Cllr Oonagh Magennis
.....
Mr Conor Mallon
.....
Cllr Gavin Malone
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Cllr Cathy Mason
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Mr Johnny Mc Bride
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Colette McAteer
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Cllr Declan McAteer
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Cllr Leanne McEvoy
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Cllr Harold McKee
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Patricia McKeever
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Cllr Karen McKevitt
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Cllr Andrew McMurray
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Cllr Roisin Mulgrew
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Cllr Declan Murphy
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Cllr Barra Ó Muirí
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Linda O'Hare
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Cllr Gerry O'Hare
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Mr Brian O'Neill
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Cllr Kathryn Owen
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Cllr Henry Reilly
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Cllr Michael Ruane
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Cllr Michael Savage
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Cllr Gareth Sharvin
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Ms Brona Slevin
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Donna Starkey
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Cllr Gary Stokes
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Sarah Taggart
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Cllr David Taylor
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Cllr Jarlath Tinnelly
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Cllr John Trainor
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Central Support Unit
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Cllr William Walker
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Mrs Marie Ward
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ACTION SHEET
AUDIT COMMITTEE MEETING
THURSDAY 24 SEPTEMBER 2020

(Outstanding issue: Audit Committee Meeting – 06 July 2020)

Minute Ref	Subject	Decision	Lead Officer	Actions taken/ Progress to date	Remove from Action Sheet Y/N
AC/057/2020 (AC/033/2020)	(Action Sheet) Direct Award Contracts	A Special Meeting of the Audit Committee Meeting be arranged in November 2020 for ASM Internal Auditors to present a Report regarding investigation into the process of Direct Award Contracts.	D Carville	Special Meeting of the Audit Committee will take place on 11 November.	Y
AC/057/2020 (AC/030/2020)	(Action Sheet) Corporate Risk Register	To note that Minute No AC/030/2020 regarding Corporate Risk Register remain on the Action Sheet until such times as training is provided for Members regarding changes to The	D Carville	Noted	

		<p>Orange Book Management of Risk – Principles and Concepts.</p> <p>To note Report dated 06 July 2020 from Ms D Carville Director of Corporate Services, regarding the Corporate Risk Register.</p> <p>To note training would be provided in due course regarding changes to The Orange Book Management of Risk – Principles and Concepts.</p>	<p>D Carville</p> <p>D Carville</p>	<p>Noted</p> <p>Noted. Training for Members in Q1/Q2 2021-22, based on the Orange Books best practice on Risk Management.</p>	<p>Y</p> <p>N</p>
AC/058/2020	Annual Accounts & Annual Governance Statement 2019/20	To note the Statement of Accounts for Newry Mourne & Down District Council for year ended 31 March 2020 Annual and Annual Governance Statement with final Accounts being presented to the Audit Committee Meeting on 07 December 2020 for consideration and signing.	K Montgomery	Noted	Y

		<p>It was agreed to circulate a paper from NIAO regarding reserve comparisons between Councils in Northern Ireland, to the Members of the Audit Committee for information.</p> <p>A report on going concern and future liabilities to be tabled at the Audit Committee Meeting on 07 December 2020.</p>	<p>D Carville</p> <p>K Montgomery</p>	<p>Agreed to circulate the paper to Members.</p> <p>Agreed to table a paper for consideration at the Audit Committee Meeting on 07 December.</p>	<p>Y</p> <p>Y</p>
AC/059/2020	Corporate Risk Register	To note the Corporate Risk Register including minor changes, new actions updating the progress of actions and new detail included within certain risks as per above Report, and to note all Directorate Risk Registers will be updated for the impact of COVID19 which will be completed during October 2020.	D Carville	Noted	Y

AC/060/2020	Prompt Payment Statistics	To note Report dated 24 September 2020 from Mr G Byrne, Audit Services Manager regarding Prompt Payment Statistics.	K Bingham	Noted	Y
AC/061/2020	(Closed Session) Update re: Audit Recommendations	To note Report dated 24 September 2020 from Mr G Byrne, Audit Services Manager regarding an update on Audit Recommendations.	K Bingham	Noted	Y
AC/062/2020	(Closed Session) Fraud & Whistle-Blowing	To note Report dated 24 September 2020 from Mr G Byrne, Audit Services Manager regarding an update on Fraud & Whistleblowing.	K Bingham	Noted	Y
AC/063/2020	(Closed Session) Direct Awards Contracts	To note Report dated 06 July from Mr G Byrne, Audit Services Manager regarding Direct Award Contracts.	K Bingham	Noted	Y
AC/064/2020	(Closed Session) ASM Summary Rpt with Internal Audit Plan – 2020/2021	To note the ASM Summary Report dated 24 September 2020 and Internal Audit Plan 2020/2021.	C Hagan	Noted	Y

		<p>To note it is proposed to bring 4 No. Reviews on the following areas, to the meeting of the Audit Committee to be held on 12 January 2020:</p> <ul style="list-style-type: none"> - Accounts Payable - Emergency Planning (COVID-19 focus) - Capital Grants - Fleet Management 			
AC/065/2020	(Closed Session) ASM Internal Audit Report –Complaints Handling	<p>To note Report dated 18 September 2020 from ASM Internal Audit regarding Complaints Handling.</p> <p>A report showing a breakdown on the areas of complaints received by Council, to be tabled at the Audit Committee Meeting to be held on 07 December 2020.</p>	C Hagan D Carville	Noted Agreed to circulate the paper for consideration at the Audit Committee Meeting on 12 January.	Y N
AC/066/2020	(Closed Session) Additional Risk	To note correspondence dated 27 August 2020	D Carville	Noted	Y

	Financial Resilience Of Council	from NIAO regarding the inclusion of an additional significant risk to consider the financial resilience of the Council, as part of the NIAO audit of NMDDC 2019/2020 Accounts.			
AC/067/2020	Assessment of Performance	To note Report dated 24 September 2020 from Ms D Carville, Director of Corporate Services regarding an Assessment of Performance 2019/2020.	D Carville	Noted	Y
AC/068/2020	Progress Report NIAO Proposals for Improvement 2019/20	To note Report dated 24 September 2020 from Ms D Carville, Director of Corporate Services outlining progress relating to 'Proposals for Improvement' 2019-2020 as per NIAO Performance Audit and Assessment. *****	D Carville	Noted	Y